STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT UC98-194-2US In Re Application Of: ANDREW A. FRANK AUG 1 3 2001 Serial No. Filing Date Examiner 09/677,288 **OCTOBER 2, 2000** GONZALEZ, J. 2834 Invention: CONTROL METHOD AND APPARATUS FOR INTERNAL COMBUSTION ENGINE ELECTRIC HYBRID VEHICLES TO THE ASSISTANT COMMISSIONER FOR PATENTS: This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Statement Under 37 CFR 1.97(e)(2) No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. Dated: August 10, 2001 Signature John P. O'Banion, Reg. No. 33,201 certify that this document is being deposited on O'BANION & RITCHEY LLP with the U.S. Postal Service as first 400 Capitol Mall, Suite 1550 class mail under 37 C.F.R. 1.8 and is addressed to the Sacramento, CA 95814 Assistant Commissioner for Patents, Washington, D.C. (916) 498-1010 20231. Signature of Person Mailing Correspondence cc: John P. O'Banion

Typed or Printed Name of Person Mailing Correspondence

|   |  |  | 1  |                   |
|---|--|--|--|-------------------|
|   | ,  | LASS MALL (37 CFR 1.8                                    | B)   | Docket No.        |
| pplicant(s): ANDREW                     | - AIIA   | 1 3 2001   |  | UC98-194-2US      |
| Serial No.                              | Filing Date  | Examiner   |  | Group Art Unit    |
| 09/677,288                              | OCTOBER 2, 2000 g  | PADEMARE GONZALEZ,                                       | J.   | 2834              |
| vention: CONTROL M                      | METHOD AND APPARATU  | S FOR INTERNAL COMBU                                     | STION ELEC   | CTRIC HYBRID      |
| EHICLES                                 |  |  |  |                   |
|   |  |  |  |                   |
|   |  |  |  |                   |
|   |  |  |  |                   |
|   |  |  |  |                   |
|   |  |  |  |                   |
| l l e e e e e e e e e e e e e e e e e e |  |  |  |                   |
| I hereby certify that this              | INFORMATION DISCL  | OSURE CITATION (Identify type of corresponder            | nce)   |                   |
| is being deposited with                 | the United States Postal :   | Service as first class mail in                           |  | addressed to: The |
| , a s m <b>g</b>                        | The state of the s | or the de met diago man n                                | an envelope  | dudicascu to. The |
| Assistant Commissions                   |  |  |  |                   |
| Assistant Commissione                   | er for Patents, Washington, I  | D.C. 20231 on AU   | GUST 10, 200   | 01                |
| Assistant Commissione                   | er for Patents, Washington, i  | D.C. 20231 onAU  | (GUST 10, 200<br>(Date)                              | 01                |
| Assistant Commissione                   | er for Patents, Washington, i  | D.C. 20231 onAU  |  | 01                |
| Assistant Commissione                   | er for Patents, Washington, l  |  | (Date)   | 01                |
| Assistant Commissione                   | er for Patents, Washington, l  | JOHN I   | (Date)<br>P. O'BANION                                |                   |
| Assistant Commissione                   | er for Patents, Washington, l  |  | (Date)<br>P. O'BANION                                |                   |
| Assistant Commissione                   | er for Patents, Washington, l  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   | er for Patents, Washington, l  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   | er for Patents, Washington, I  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   | er for Patents, Washington, i  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   | er for Patents, Washington, I  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   | er for Patents, Washington, I  | JOHN I   | (Date) P. O'BANION Person Mailing C                  | orrespondence)    |
| Assistant Commissione                   |  | JOHN I (Typed or Printed Name of 1) (Signature of Person | (Date) P. O'BANION Person Mailing C Mailing Correspo | orrespondence)    |
| Assistant Commissione                   |  | JOHN I   | (Date) P. O'BANION Person Mailing C Mailing Correspo | orrespondence)    |
| Assistant Commissione                   |  | JOHN I (Typed or Printed Name of 1) (Signature of Person | (Date) P. O'BANION Person Mailing C Mailing Correspo | orrespondence)    |
| Assistant Commissione                   |  | JOHN I (Typed or Printed Name of 1) (Signature of Person | (Date) P. O'BANION Person Mailing C Mailing Correspo | orrespondence)    |
| Assistant Commissione                   |  | JOHN I (Typed or Printed Name of 1) (Signature of Person | (Date) P. O'BANION Person Mailing C Mailing Correspo | orrespondence)    |

| TRA            | NSMITTÁL OF<br>(U                    | r'INFORMATION DISCLO<br>Inder 37 CFR 1.97(b) or 127  | SURE STATEMENT  | Docket No.<br>UC98-194-2US                      |
|----------------|--------------------------------------|--|---|---|
| In Re          | Application Of: A                    | \ <del>2</del>   | 1 3 2001  |   |
|                | Serial No.                           | Filing Date  | Examiner  | Group Art Unit                                  |
|                | 09/677,288                           | OCTOBER 2, 2000  | GONZALEZ, J.  | 2834  |
| Title:<br>HYBR | CONTROL MET<br>ID VEHICLES           | THOD AND APPARATUS FOR I   | NTERNAL COMBUSTION E  | NGINE ELECTRIC                                  |
|                |                                      | Assistant Comr   | ddress to:<br>missioner for Patents<br>ton, D.C. 20231        |   |
|                |                                      | 37 CF  | FR 1.97(b)  |   |
| 1.             | of a national app<br>CFR 1.491 in ar | Disclosure Statement submitted plication; within three months of tin international application; or been event occurs last. | he date of entry of the national                              | al stage as set forth in 37                     |
|                |                                      | 37 CF  | FR 1.97(c)  | <b>5</b>  |
| 2. 🛚           | of a national app international app  | Disclosure Statement submitted blication, or the date of entry of blication; or after the mailing date of either           | the national stage as set fortlate of a first Office Action o | h in 37 CFR 1 91 n and on the merits, whichever |
|                | 1. a                                 | a Final Action under 37 CFR 1.11   | 13, or  | VED<br>2001<br>AIL ROOM                         |
| ~              | 2. a                                 | a Notice of Allowance under 37 C   | FR 1.311,   | <b></b>   |
|                | whicheve                             | er occurs first.   |   |   |
| **             | Also submitted he                    | erewith is:  |   |   |
|                | a certificati                        | ion as specified in 37 CFR 1.97(6  | э);   |   |
|                |                                      | OR   |   |   |
|                | the fee se under 37 C                | et forth in 37 CFR 1.17(p) for si<br>CFR 1.97(c).  | ubmission of an Information                                   | Disclosure Statement                            |
|                |                                      |  |   |   |

|   | - No. 15-   | (a + 1)  |  |
|---|---|--|--|
| TRANSMITTÅL OF<br>(U  | 'INFORMATION DISCLO<br>nder 37 CFR 1.97(b) or 1.9   | OSURE STATEMENT 7(c)   | Docket No.<br>UC98-194-2US   |
| In Re Application Of: A   |   | AUG 1 3 2001   |  |
| Serial No.<br>09/677,288  | Filing Date OCTOBER 2, 2000   | Examiner GONZALEZ, J.  | Group Art Unit<br>2834   |
| Title: CONTROL MET  |   | INTERNAL COMBUSTION ENGI   | NE ELECTRIC  |
|   | Payn<br>(Only complete if Applicant elects  | nent of Fee<br>to pay the fee set forth in 37 CFR 1.17(p)  | · .  |
| Certificate of T  Certificate | overpayment.  by additional fee required.  ransmission by Facsimile*  recument and authorization to charge of facsimile transmitted to the United mark Office (Fax. No.  Signature  Time of Person Signing Certificate  puly be used if paying by | Certificate of Mailing by  I certify that this document and on 8/10/01 with the first class mail under 37 C.F.R. 1.8 Assistant Commissioner for Pate 20231.  Signature of Person Mailing  John P. O'Ba  Typed or Printed Name of Person M. | fee is being deposited e U.S. Postal Service as and is addressed to the ents, Washington, D.C. |
| hn P. O'Banion, Reg. No. BANION & RITCHEY I 0 Capitol Mall, Suite 155 cramento, CA 95814 (6) 498-1010   | LLP   | Dated: August 10, 2001   |  |

CC:

| · · · · · · · · · · · · · · · · · · ·    |                               |   |                         |
|--|-------------------------------|---|-------------------------|
| CERTIFICATE OF I<br>Applicant(s): ANDREW | A. FRANK AU                   | ASS MAD. (37 CFR 1.8)   | Docket No. UC98-194-2US |
| Serial No.<br>09/677,288                 | Filing Date OCTOBER 2, 2000   | Examiner GONZALEZ, J.   | Group Art Unit<br>2834  |
| Invention: CONTROL I                     |                               | S FOR INTERNAL COMBUSTIC  | ON ELECTRIC HYBRID      |
|  |                               |   |                         |
| I hereby certify that this               |                               | (Identify type of correspondence)   |                         |
|  | er for Patents, Washington, D | ervice as first class mail in an e  | Г 10, 2001              |
|  |                               | JOHN P. O'B  (Typed or Printed Name of Person )  (Bignature of Person Mailing | Mailing Correspondence) |
|  |                               |   |                         |

Note: Each paper must have its own certificate of mailing.

AUG 23 2001 TC 2800 MAIL ROOM